FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES

| | OWD 741 1 | 110 17 12 | | | | |
|--------------------------------|--------------------------|-----------|--|--|--|--|
| IN BENEFICIAL OWNERSHIP | OMB Number: | 3235-0287 | | | | |
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hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Hess Rick D</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol MKS INSTRUMENTS INC [MKSI] | | | | | | | | | | | ip of Reporting Person(s) to Isoplicable) ctor 10% O | | | |
|---|---|--|----------------|---------|-----------------|---|---------|---|------------------------------------|-------|---|---------------|---------------------|------------------|---|---|--|---|--|----------|
| (Last) 2 TECH | (F DRIVE, SI | irst) JITE 201 | (Middle) | | | oate o | | st Trans | action (M | onth/ | Day/Year) | | | | | Office | er (give title v) | | Other (below) | (specify |
| (Street) ANDOV (City) | | A tate) | 01810 (Zip) | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | Individ ne) X | Form | or Joint/Group Filing (Check Applicable in filed by One Reporting Person in filed by More than One Reporting son | | | | | |
| | | Tal | le I - No | n-Deriv | ative | Se | curitie | s Ac | quired, | Dis | posed o | f, or | Bene | eficia | ally C | wne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | Execution Date, | | | 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) 5) | | | | | and Secur Bene | | cially I Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | Code | v | | | (A) or (D) | Price | , l [.] | Transa | ction(s) 3 and 4) | | | (11311. 4) | |
| Common | Stock | | | 05/09 | /2018 | | | | A ⁽¹⁾ | | 1,499.118 A | | | (2 | 3,487.93 | | D | | | |
| | | Т | able II - I | | | | | | | | sed of, onvertib | | | | y Ow | ned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | onversion Date Execution Date, r Exercise rice of erivative (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | n Date, | | ransaction of Derivation (A) or Dispos of (D) (Instr. 3 and 5) | | rative rities rired r osed) | 6. Date E Expiratio (Month/D | е | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) Amoun or Numbe of Title Shares | | ount | nt er | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Own Form Direc or In (I) (Ir | | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Explanation of Responses:

- 1. These restricted stock units become fully vested on the day prior to the first annual meeting of shareholders following the date of grant (or if no such meeting is held within 13 months after the date of grant, on the 13 month anniversary of the date of grant).
- 2. Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

/s/ M. Kathryn Rickards, attorney-in-fact

05/10/2018

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.