FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
hours per response	: 0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Henry David Philip					2. Issuer Name and Ticker or Trading Symbol MKS INSTRUMENTS INC [ MKSI ]								5. Relationship of Reporting Person(s) to Issue (Check all applicable)  Director 10% Owne  X Officer (give title Other (spec					wner		
(Last) (First) (Middle) C/O MKS INSTRUMENTS, INC. 2 TECH DRIVE, SUITE 201						3. Date of Earliest Transaction (Month/Day/Year) 05/20/2021									A below) below) SVP, Corp Mkt, PMO & Glob Svc					
(Street) ANDOV (City)			)1810 Zin)		4. If <i>i</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)								. Indiv ine) X	′					
(0.5)	(City) (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/N				on	n 2A. Deemed Execution Date,			3. Transaction Code (Instr. 8)  4. Securities Acquired (A) (Disposed Of (D) (Instr. 3, 4)					or 5. Amo Securi Benefi		ount of ties cially I Following	Form	: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Price			ction(s) 3 and 4)				
Common Stock 05/20/20.					021				S		284	D	\$186.	698	1,805.58			D		
		Tal	ble II								osed of, convertib				Owne	d				
1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution Date, if any				Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			e and int of ities clying ative ity (Instr. 4)			9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y [	Downership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	or Number of Shares							

**Explanation of Responses:** 

/s/ M. Kathryn Rickards, attorney-in-fact

05/21/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.