| SEC Form 4 |
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Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 193/

OMB APPROVAL

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| | , | | or Section 30(h) of the Investment Company Act of 1940 | | | | | | |
|---|---------|-----------|--|--|--|--|--|--|--|
| 1. Name and Add | | | 2. Issuer Name and Ticker or Trading Symbol <u>MKS INSTRUMENTS INC</u> [MKSI] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| <u>COLELLA GERARD G</u> | | | [| X Director 10% Owner | | | | | |
| (Last) (First) (Middle) C/O MKS INSTRUMENTS, INC. 2 TECH DRIVE, SUITE 201 | | · · · · · | 3. Date of Earliest Transaction (Month/Day/Year) 05/01/2020 | Officer (give title Other (specify below) below) | | | | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) ANDOVER | MA | 01810 | | Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (State) | (Zip) | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution Date, if any | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---------------------------|---|---|--------|---------------|---------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 05/01/2020 | | S | | 5,000 | D | \$96.55 | 49,786 | I | By The Gerald G. Colella Living Trust |
| Common Stock | | | | | | | | 1.921 | D | |
| Common Stock | | | | | | | | 40,800 | I | By The Gerald G. Colella 2018 Grantor Trust |

| | | | (e.g., pı | uts, ca | alls, v | varra | ants, | options, o | onvertib | le se | curities |) | | | |
|---|---|--|---|------------------------------|---------|--|---------------------------|--|---|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) o Dispe of (D | r osed) r. 3, 4 | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Explanation of Responses:

<u>/s/ M. Kathryn Rickards,</u> <u>attorney-in-fact</u>

<u>05/05/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.