FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

hours per response:

OMB Number: 3235-0287 Estimated average burden

0.5

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  BAGSHAW SETH H						2. Issuer Name and Ticker or Trading Symbol MKS INSTRUMENTS INC [ MKSI ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner				
(Last) (First) (Middle) 2 TECH DRIVE, SUITE 201						3. Date of Earliest Transaction (Month/Day/Year) 01/28/2019										give title VP, CFC	cle Other (specify below)		pecify
(Street) ANDOVER MA 01810															Individual or Joint/Group Filing (Check Applicable Line)      X Form filed by One Reporting Person  Form filed by More than One Reporting Person				
(City)	(5	State)	(Zip)		1 om lied by wore than one kept											9			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transa Date (Month/D						Exec if an	2A. Deemed Execution Date, if any (Month/Day/Yea		Code (Instr.					A) or 3, 4 and 5)	5. Amount Securities Beneficiall Owned Fol Reported	y	6. Own Form: (D) or I (I) (Ins	Direct Indirect Itr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Co	de V	A	mount	(A (C	A) or D)	Price	Transactio (Instr. 3 an				(1130.4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. r) 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)				ies Un ive Se		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exerci	sable	Expir Date	iration	Title	Nu	nount or imber of iares		Transaction(s) (Instr. 4)			
Restricted Stock Unit	(1)	01/28/2019		<b>J</b> (2)			3,240.324	(3	3)	(	(3)	Commo		240.324	(1)	20,948	.988	D	

## **Explanation of Responses:**

- 1. Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.
- 2. This transaction reflects the forfeiture of RSUs due to performance criteria.
- 3. These RSUs are subject to the achievement of performance criteria determined in the first year of grant and thereafter vest in three equal installments beginning on February 15, 2019, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

/s/ M. Kathryn Rickards, attorney-in-fact 01/29/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.