FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

BERTUCCI JOHN R						MKS INSTRUMENTS INC [ MKSI ]								(Check all applicable)  X Director 10% Owner					
(Last) (First) (Middle) C/O MKS INSTRUMENTS, INC.						3. Date of Earliest Transaction (Month/Day/Year) 05/08/2019									Office belov	er (give title w)	Other below	(specify )	
2 TECH DRIVE, SUITE 201					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) ANDOVER MA 01810															X Form filed by One Reporting Person  Form filed by More than One Reporting Person				
(City) (State) (Zip)																			
		Tab	le I - No	n-Deriv	ative S	Securiti	es Ac	quired	, Dis	posed of	, or	Ben	efici	ally	Owne	ed			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			(A) or 3, 4 aı	4 and Se Be Ov		Amount of ecurities eneficially wned Following eported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	() (I	A) or D)	Pric	e	Transa	ction(s) 3 and 4)			
Common	Stock			05/08/	/2019			A <sup>(1)</sup>		1,980.42	9	A	(	2)	67,2	75.429 <sup>(3)</sup>	D		
Common	Stock														6	0,000	I	By Claire R. Bertucci 2018 Qualified Annuity Trust	
Common	Stock														6	0,000	I	By Claire R. Bertucci 2019 Qualified Annuity Trust	
Common	Stock														6	0,000	I	By John R. Bertucci 2018 Qualified Annuity Trust	
Common Stock															60,000		I	By John R. Bertucci 2019 Qualified Annuity Trust	
Common Stock															6	1,755	I	By Spouse	
		Ta								sed of, o					vned				
1. Title of Derivative Security (Instr. 3)	2. 3. Transaction 3A. Deemed		ned 4. In Date, Transacti		5. Number tion of			Exercison Dat	sable and te ear)	7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		str. 3	8. Price of Derivative Security (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					(A)	(D)	Date Exercisa		Expiration Date			nber ares							

## Explanation of Responses:

- 1. These restricted stock units become fully vested on the day prior to the first annual meeting of shareholders following the date of grant (or if no such meeting is held within 13 months after the date of grant, on the 13 month anniversary of the date of grant).
- $2. \ Each \ restricted \ stock \ unit \ represents \ the \ contingent \ right \ to \ receive \ one \ share \ of \ common \ stock \ of \ MKS \ Instruments, \ Inc.$
- 3. Adjusted to reflect cash payment in lieu of distribution of a fractional share.

/s/ M. Kathryn Rickards, attorney-in-fact 05/09/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.